

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 17146-0004001	Application No. 10/826,950
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Stephen K. Pinto et al.	
		Filing Date April 16, 2004	Group Art Unit 2129

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1	2003/0088565	05/2003	Walter et al.	/	/	
	2	2004/0030667	02/2004	Xu et al.			
	3	6,026,397	02/2000	Sheppard			
	4	6,684,208	01/2004	Kil et al.			
	5	6,836,773	12/2004	Tamayo et al.			
	6	7,499,897	03/03/2009	Pinto et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	7	Tashman, "Automatic Forecasting Software: A Survey and Evaluation," <i>International Journal of Forecasting</i> , 7(2) (1991)
	8	Wang et al., "An Expert System for Forecasting Model Selection," <i>IEEE</i> (1992)
	9	New technology Card News, 10(6) (April 3, 1995)
	10	Pinto, "Smart Data Use Can Improve Bank Insurance Marketing National Underwriter," 106(20) (July 2002)
	11	Autobox 5.0 for Windows – User's Guide, <i>Automatic Forecasting Systems, Inc.</i> (December 10, 1999)
	12	SmartSoftware Web Pages (www.smartcorp.com), <i>Smart Software Inc.</i> , (July 2001) (Retrieved from Archive.org December 20, 2005)
	13	ForecastPro Product Brochure (www.forecastpro.com), <i>Business Forecasting Systems</i> (2000), (Retrieved from Archive.org December 20, 2005)
	14	PAIR Transaction History for U.S. Application Serial No. 10/826,711, filed on 4/16/2004
	15	PAIR Transaction History for U.S. Application Serial No. 10/826,452, filed on 4/16/2004
	16	PAIR Transaction History for U.S. Application Serial No. 10/826,949, filed on 4/16/2004
	17	PAIR Transaction History for U.S. Application Serial No. 10/826,630, filed on 4/16/2004
	18	PAIR Transaction History for U.S. Application Serial No. 10/826,947, filed on 4/16/2004
	19	PAIR Transaction History for U.S. Application Serial No. 10/826,453, filed on 4/16/2004

Examiner Signature /Kalpana Bharadwaj/	Date Considered 07/02/2009
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.B./